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TITLE OF THE INVENTION

MECHANISM FOR LOCKING CLIENT REQUESTS TO A PARTICULAR SERVER

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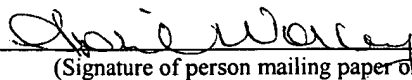## EXPRESS MAIL CERTIFICATE OF MAILING

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MECHANISM FOR LOCKING CLIENT REQUESTS TO A PARTICULAR SERVER

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FIELD OF THE INVENTION

10   This invention relates to the field of server load balancing, Internet quality of service, and security and more specifically, to a mechanism for locking client requests to a particular server.

BACKGROUND OF THE INVENTION

The demand for e-commerce brings a unique set of challenges to network infrastructures. For example, one server may be inadequate to provide the required capacity and scalability to serve the increasing size of e-commerce transactions. Server load balancing (SLB) was developed to

20   overcome this problem, where a number of servers (server farm) act as a single server and a special device (dispatcher) dispatches requests to server in a manner that balances the load on all the servers in the serve farm. Some SLB schemes may dispatch requests to the server having the least load, and other SLB schemes may dispatch requests to any server such that the

25   network is optimized, for example. For purposes of simplicity, the server selected by any given SLB scheme is referred to herein as the "best server".

In e-commerce transactions hosted on a website, however, SLB

introduces a new problem. When a customer sends information to a website that utilizes an SLB scheme, the information is sent to the best server computed by the dispatcher, Server A, and stored locally on that server. For example, a customer of Amazon.com® selects books and places them in the

5   shopping cart, and then decides to visit other areas of the site before purchasing. When the customer returns to the shopping cart, effectively to access state information (the state of previously stored information), the SLB scheme again computes the best server, this time Server B, to direct the customer's request to. Since the distribution of requests amongst the servers

10   in the server farm may have changed since the customer's last request, the best server on the subsequent request is different from the best server on the first request. Consequently, the customer's information does not exist on Server B, and the shopping cart may be empty when the customer returns to it.

15   One current solution to this problem is to globally maintain state information, such that the state information can be accessed by any server in the server farm. For example, state information can be maintained in a special state server, or even one of the servers in the server farm. One of the disadvantages to this, however, is the latency associated with memory

20   accesses by the servers, as well as dispatcher latency associated with storing state information.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings and in which like reference numerals refer to similar elements and in which:

5   FIG. 1 illustrates an exemplary server load balancing (SLB) environment in which preferred embodiments of the present invention are operable.

FIG. 2 illustrates elements in an exemplary environment.

FIG. 3 is a method of preferred embodiments of the invention.

FIG. 4 illustrates how quality of service can be applied in embodiments of

10   the present invention.

DETAILED DESCRIPTION OF THE INVENTION

In one aspect of the invention, a method for directing requests from the same client in a single session to the same server in secure e-commerce transactions is described. In one exemplary use for this method, a user submits state information, hereinafter referred to as a client request, over the Internet to an e-commerce website, such as Amazon.com®, and the state information is stored on a system server. The e-commerce website comprises a dispatcher (a system for sending client requests to a server) and server farm (a pool of a plurality of servers for processing client requests). The client request is received by the dispatcher, and a unique session identifier (I.D.) is assigned to the client request. The dispatcher selects a server to send the client request to, and sends the client request to the selected server. The session I.D. is mapped to a server identifier associated with the selected server.

In preferred embodiments, when the client request is received by the dispatcher, the dispatcher establishes a secure connection, preferably SSL (Secure Sockets Layer), with the client. The dispatcher then uses a load balancing algorithm to determine the best server in the server farm to send the client request to. The unique session I.D. is then mapped to an SSL context, which identifies a previously existing SSL tunnel between the dispatcher and the selected server, such that subsequent requests having the same session I.D. can be directed to the same server via the SSL tunnel.

The present invention includes various operations, which will be described below. The operations of the present invention may be performed by hardware components or may be embodied in machine-executable instructions, which may be used to cause a general-purpose or special-purpose processor or logic circuits programmed with the instructions to perform the operations. Alternatively, the operations may be performed by a combination of hardware and software.

The present invention may be provided as a computer program product,

which may include a machine-readable medium having stored thereon instructions which may be used to program a computer (or other electronic devices) to perform a process according to the present invention. The machine-readable medium may include, but is not limited to, floppy diskettes, optical disks,

5 CD-ROMs, and magneto-optical disks, ROMs, RAMs, EPROMs, EEPROMs, magnet or optical cards, flash memory, or other type of media / machine-readable medium suitable for storing electronic instructions. Moreover, the present invention may also be downloaded as a computer program product, wherein the program may be transferred from a remote computer (e.g., a server)

10 to a requesting computer (e.g., a client) by way of data signals embodied in a carrier wave or other propagation medium via a communication link (e.g., a modem or network connection). Accordingly, herein, a carrier wave shall be regarded as comprising a machine-readable medium.

Introduction

15 FIG. 1 illustrates an exemplary environment in which preferred embodiments of the present invention are operable. A user on a client system 100 logs into a server system 116 to conduct transactions, such as to browse the contents of a website, purchase goods from a website, or request information from a website. The server system 116 comprises a dispatcher 104 and a server

20 farm 106 comprising a plurality of servers 108, 110, 112, 114. The dispatcher receives user requests to process transactions and selects one of the servers 108, 110, 112, 114 to send a given user request to. On a first session of a given user, the dispatcher 104 preferably selects a server 108, 110, 112, 114 from the server farm by using a load balancing algorithm to find the best server.

25 Preferably, the dispatcher selects the same server that was selected for the user's first session on subsequent sessions for the same user if the request comprises secure information.

FIG. 3 outlines a method of the present invention starting at block 300. A first user request comprising a session I.D. is received at block 302. At block

304, it is determined if the transaction is a secure transaction. If it is, then at block 306, it is determined if the session I.D. exists in the mapping table. If the session I.D. does not exist in the mapping table, or if the transaction is not secure, then at block 310, a load-balancing algorithm is used to find the best

5   server. Furthermore, the user request is sent to the best server at block 312. If the user request is a secure transaction, then at block 314, the mapping table is updated to include an entry for the session I.D. and the corresponding SSL context for the selected server.

If the transaction is secure and the session I.D. exists, then at block 308,

10   the session I.D. is searched for in the mapping table, and the request is sent to the server corresponding to the session I.D. The method ends at block 316.

Secure Transactions

In preferred embodiments, the dispatcher distinguishes between secure and non-secure transactions. Secure transactions may be determined by the

15   server system. For instance, secure transactions may be determined to comprise credit card transactions, a user's personal information, or user reviews. Non-secure transactions may be determined to comprise a user request for a book rating, for instance. As described herein, secure transactions refer to transactions in which information needs to be saved. Such information, such as

20   personal data, and credit card information, is referred to as state information.

If a user request is determined to be a secure transaction, the dispatcher processes the request differently than if the request were a non-secure transaction. In preferred embodiments, the dispatcher 104 has previously existing SSL (Secure Sockets Layer) tunnels and corresponding SSL contexts

25   118, 120, 122 with the servers 108, 110, 112, 114 in the server farm 106 to handle secure transactions. An SSL tunnel is a designated channel of communication, and a corresponding SSL context comprises a source IP (Internet protocol) address, a destination IP address, and an encryption algorithm

that identifies a corresponding SSL tunnel.

Initial User Session Requests

When the user logs onto a website associated with a server system 116 to conduct a secure transaction, as shown in FIG. 2, the dispatcher 104 looks in a
5   mapping table 204 to determine if the session I.D. has already been mapped to a specific server. If not, an SSL tunnel and corresponding SSL context 200 between the dispatcher 104 and the client 100 is created. The SSL context between the client 100 and the dispatcher 104 additionally comprises a session I.D. to uniquely identify the user's current login session. For example, a user
10   logs onto Amazon.com®, places items in the shopping cart, submits the items for payment, and then decides to continue browsing the site.

The dispatcher 104 uses a load-balancing algorithm (by employing a load balancer, for instance), to find which one of the servers 108, 110, 112, 114 in the server farm 106 can best handle the current user request. As discussed, *supra*,
15   the best server can be the server currently having the least load, or the server which can best alleviate network traffic, for instance. A load-balancing table 202 is updated accordingly.

Once a best server is determined, the user request is sent to the selected server 108. The dispatcher then maps the current session I.D. to the SSL
20   context between the dispatcher 104 and the selected server 108 by adding an entry to a mapping table 204 for the session I.D. and the SSL context. The selected server receives the user's request, and stores corresponding information in its local memory for subsequent access.

Subsequent User Session Requests

25   When the user makes another request, (for example, the user has finished browsing the site and wishes to return to the shopping cart previously submitted), the dispatcher receives the subsequent request. Using the session I.D. from the

user request, the dispatcher 104 determines if the session I.D. exists in the mapping table 204. If the session I.D. exists in the mapping table 204, then the dispatcher sends the user's subsequent request to the server corresponding to the session I.D. as indicated by the mapping table 204. Since the previously

5   submitted information is stored on this server, the user's information is quickly accessed on the server, and available to the user.

If the session I.D. does not exist in the mapping table 204, then processing proceeds as described for an initial user session request, *supra*.

Quality of Service

10   Where multiple requests are received on the same SSL tunnel between the dispatcher and a given server, a QoS (Quality of Service) Manager uses predetermined algorithms to aggregate multiple streams into a single stream. In reference to FIG. 4, when multiple clients, such as Client 1 404, and Client 2 402, are directed to the same server via an SSL tunnel, as determined by the load

15   balancer 204, a QoS Manager 400 decides which client gets priority of the SSL tunnel. An exemplary algorithm used by the QoS Manager can be found in pending United States patent application entitled "Secure Communications Over Unsecure Links" by Manav Mishra, Raj Yavatkar, and Prakash Iyer, filed on September 5, 2000, serial no. _____.

20   FIG. 4 further illustrates that when Client 1 404A, 406A submits a request to the dispatcher 104, Client 1 may submit a secure transaction 404B or a non-secure transaction 406B. If Client 1 submits a non-secure transaction, then a load balancer 204 determines which server in the server farm the client request is to be sent to via the current SSL connection 406B. If Client 1 submits a secure

25   transaction, then a mapping table 204 is searched to determine if a session I.D. associated with Client 1 exists in the table. If it does, then the client request is sent to the server corresponding to the session I.D. in the mapping table 204. If the session I.D. does not exist, then a load balancer 410 uses a load balancing

table 202 to determine which server in the server farm to direct the client request to. Client 1 request is then sent to the selected server 408.

Where a second client, Client 2 402A, makes a client request and the load balancer 410 directs Client 2 request to the same server as Client 1, both requests 404B, 402B are sent to a QoS Manager 400 and, the QoS Manager decides which request to handle first. In the example of FIG. 4, Client 1's secure request 404B is a high-priority SSL request, and Client 2's secure request is a low-priority SSL request. The QoS Manager 400 then processes the requests according to their priorities, such that Client 1 404B receives a high-priority SSL connection with one of the servers in the server farm 408, and Client 2 402B receives a low-priority SSL connection with one of the servers in the server farm 412.

Non-Secure Transactions

In one embodiment, if a user request is determined to be a non-secure transaction, the dispatcher processes all user requests in the same way. In other words, the dispatcher uses a load balancing algorithm to find the best server, and forwards all requests to the best server determined at the time a request is received.

In other embodiments, non-secure (non-SSL) transactions can always be mapped to the same server using the scheme for secure transactions by using a cookie, or a block of data, as the session I.D. (rather than the SSL context in the case of a secure transaction). The cookie would be generated by the best server and returned to the client. When another client request is made from the same client, the cookie comprises information about the server that generated the cookie so that the request can be sent to the original server.

Conclusion

As described in embodiments of this invention above, the latency

associated with global accesses is alleviated, since information is locally stored at selected servers. Moreover, there is no loss of information since the same server is selected to process user requests.

In the foregoing specification, the invention has been described with reference to specific embodiments thereof. It will, however, be evident that various modifications and changes may be made thereto without departing from the broader spirit and scope of the invention. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense.

For example, while embodiments herein have been described to distinguish between secure and non-secure transactions, the invention can be implemented without making this distinction. In other words, regardless of the type of user request, the dispatcher may forward all user requests in the same session to the server for processing.